PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

Language France; LLS. DEPARTMENT OF OPPLIERCE

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ATTORNEY'S DOCKET NUMBER NL030818

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				
INTERNATIONAL APPLICATION NO. PCT/IB2004/051123		INTERNATIONAL FILING DATE July 5, 2004	PRIORITY DATE CLAIMED July 11, 2003	
TITLE OF INVENTION ELECTROPHORETIC DISPLAY UNIT				
APPLICANT(S) FOR DO/EO/US Guofu ZHOU; Neculai AILENEI; Masaru YASUI and Mark Thomas JOHNSON				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. 🚺 Thi	s is a FIRST submission of items co	ncerning a submission under 35 U.S.C. 3	71.	
		submission of items concerning a submiss		
3. This	s is an express request to begin nati), (6), (9) and (21) indicated below.	ional examination procedures (35 U.S.C.	371(f)). The submission must include items	
4.	e US has been elected (Article 31).			
5. 🔽 A	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))		
a	a. is attached hereto (required	d only if not communicated by the Internati	ional Bureau).	
t	b. As been communicated by			
		ication was filed in the United States Rece		
6. A	An English language translation of th	ne International Application as filed (35 U.S	S.C. 371(c)(2)).	
ē	a. is attached hereto.			
	b. has been previously submi	itted under 35 U.S.C. 154(d)(4).		
7. 🗹 🔏	Amendments to the claims of the Inte	ernational Application under PCT Article 1	9 (35 U.S.C. 371(c)(3))	
	a. are attached hereto (requi	ired only if not communicated by the Inter	national Bureau).	
	b. have been communicated	by the International Bureau.		
	c. have not been made; how	vever, the time limit for making such amen	ndments has NOT expired.	
	d. An have not been made and	will not be made.		
8. 🔲	An English language translation of the	he amendments to the claims under PCT	Article 19 (35 U.S.C. 371(c)(3)).	
9. 🗹 /	An oath or declaration of the inventor	r(s) (35 U.S.C. 371(c)(4)).		
	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	ne annexes of the International Preliminar	y Examination Report under PCT	
Items 1	1 to 20 below concern document(s	s) or information included:		
	An Information Disclosure Statement			
12. 🗸	An assignment document for recordi	ing. A separate cover sheet in compliance	e with 37 CFR 3.28 and 3.31 is included.	
13.	A preliminary amendment.			
14.	An Application Data Sheet under 37	CFR 1.76.		
15. 🗆	A substitute specification.			
16. 🗹	A power of attorney and/or change of	of address letter.		
17. 🔲	A computer-readable form of the sec	quence listing in accordance with PCT Ru	ıle 13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.	
18. 🔲	A second copy of the published Inte	ernational Application under 35 U.S.C. 154	l(d)(4).	
19.	A second copy of the English langua	age translation of the international applica	ation under 35 U.S.C. 154(d)(4).	
20. 🗹	Other items or information: Express !	Mail Certificate; PTO/SB/96; Charge At	athorization; Receipt Confirmation Postcard	

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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LAP15 Rec'd PCT/PTO 09 JAN 2006

Approved for use through 3731/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER NL030818 563 PCT/IB2004/051123 CALCULATIONS PTO USE ONLY The following fees have been submitted Basic national fee.....\$300 300.00 21. 🔽 22. 🗸 If International preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200.00 All other situations.....\$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared and provided to the Office......\$400 \$ 400.00 All other situations.....\$500 \$ 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) \$ x \$250 - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)) NUMBER EXTRA RATE \$ NUMBER FILED CLAIMS - 20 = \$ 50 \$ 0.00 Total claims 11 \$200 \$ 200.00 x - 3 = Independent claims 1 \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$ 200.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = 1,100.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 1.100.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 1,140.00 Amount to be refunded: Amount to be \$ 1,140.00 charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 14-1270 in the amount of \$ 1,140.00 __ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit c. 🗸 Account No. 14-1270 _. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: SIGNATURE Corporate Patent Counsel Daniel J. Piotrowski

P.O. Box 3001

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NAME

REGISTRATION NUMBER

42,079

10/563930

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

ZHOU ET AL

NL030818

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

ELECTROPHORETIC DISPLAY UNIT

	CERTIFICATE OF EXPRESS MAILING
[X] Express Mail Mailing	Label No.
	EV 664855/76 US
Date of Deposit: JANUA	ARY
Service "Express Mail P	paper and/or fee is being deposited with the United States Postal ost Office to Addressee" service under 37 C.F.R. 1.10 on the date addressed to the Commissioner for Patents, PO Box, 1450, Alexandria,
VA 22313-1450	Oellee Henr
Debbie Henn	Ulade Vince
Typed Name	Signature